This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

DMH/CAE/bmw April 23, 2004,

PATENT APPLICATION Docket No.: 0054.1087-005 Former Docket No. BU94-15A2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Barbara A. Gilchrest, Mina Yaar and Mark Eller

Application No.:

09/018,194

Group:

1647

Filed:

February 4, 1998

Examiner:

S. L. Wegert

Confirmation No.:

9447

For:

Inhibition of Apoptosis in Keratinocytes by a Ligand of p75 Nerve Growth

Factor Receptor (As Amended)

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

April 23, 2004

Signature

Typed or printed name of person signing certificate

INTERVIEW SUMMARY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

A telephonic interview was conducted on March 25, 2004. Participants were:

Examiner Sandra Wegert

Examiner Elizabeth Kemmerer

Inventor Barbara A. Gilchrest

Inventor Mina Yaar

Attorney Doreen M. Hogle

Attorney Carol A. Egner

The attorneys and inventors wish to thank the Examiners for holding the interview.

Arguments were presented that pertained to all the claims currently under examination.

No new exhibits or new Declarations were presented. Examiner Sandra Wegert was sent by fax

an informal paper, not to be entered, "Points to Consider for Telephonic Interview," preceding the interview.

No prior art was discussed, as the one remaining rejection is under 35 U.SC.§ 112, first paragraph.

Points Presented at Interview Relative to Enablement of the Claims

Keratinocytes either go into producing hair shaft or go into producing the stratum corneum. Keratinocytes in culture can be used to predict the behavior of keratinocytes in skin. The intracellular pathways the keratinocytes use to differentiate are the same. The apoptosis (cell death) pathways are the same for keratinocytes, whether they are in stratum corneum or in hair follicles. If the apoptotic pathway is blocked in keratinocytes, cell death is prevented, whether the keratinocytes are in stratum corneum or in hair follicles.

There are many factors affecting hair growth and those factors and their possible interactions are poorly understood. Despite the complexity, modulating one pathway can have an effect. Although the observed effect may not be absolute, and may not be a "cure" for hair loss, an observed effect on maintaining hair or slowing loss is nevertheless valued.

Male pattern baldness is not permanent hair loss. Rather, it is a phenomenon that results from a shift in the relative lengths of the phases of hair growth, anagen (growth), catagen (regression) and telogen (rest). In male pattern baldness, anagen is not long enough, resulting in only short, fine hairs. Therefore, an agent that changes the length of the phases of hair growth will have an effect on male pattern baldness.

Alopecia areata is real hair loss that occurs by an immunological mechanism. An infiltrate of T lymphocytes surrounds the keratinocytes, causing catagen.

UV irradiation of keratinocytes in cell culture is not meant to mimic, and does not mimic, the factors that contribute to male pattern baldness or to alopecia areata. Rather, UV is used only as an initiating event for apoptosis. In the model of hair loss using UV on cells in culture, the radiation is brief -- only long enough to activate pathways for the cells to commit suicide. The

p75 pathway is a common final pathway to cause apoptosis, found in all cells. UV is not the relevant stimulus that causes male pattern baldness, but sets in motion pathways going through the p75 receptor. The p75 receptor governs transitions of anagen through telogen. Applicants' method blocks the transition to catagen.

The experiments described in the Declaration of Barbara A. Gilchrest, M.D. Under 37 C.F.R. § 1.132, mailed to the United States Patent and Trademark Office on April 8, 2002, were reviewed. It was noted during the interview that experiments were performed on biopsies of mouse skin maintained in organ culture during the early stages of catagen. Cyclic peptide SEQ ID NO:9 (CATDIKGAEC) or diluent was added to the mouse organ explants as control. The cyclic peptide delayed catagen development of hair, showing that blocking neurotrophin receptor p75 activation is associated with delay of catagen initiation.

More recent experiments are consistent with these results. [See the attached abstract, labeled "Appendix," referred to by Dr. Gilchrest, but not presented at the time of the interview: Zhai S., Yaar M., Reenstra W., Gilchrest B.A. Elucidation of apoptotic pathways following activation of the 75 kDa neurotrophin receptor. *J. Invest. Dermatol.* 112:548, 1999 (Abstract 151).]

Examiner Wegert pointed out that the language in the claims -- Claim 33, for example -- is to maintaining hair growth, and suggested that what is observed from the experiment described in the Declaration is perhaps more accurately "delaying catagen" or "delaying hair loss."

Applicants were invited to submit additional claims with alternative claim language.

Respectfully submitted, HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Cawl A. Egner
Carol A. Egner

Registration No. 38,866

Telephone: (978) 341-0036 Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: April 23, 2004

Elucidation of Apoptotic Signaling Pathways Following Activation of the 75 kDa Neurotrophin Receptor

S. Zhai, M. Yaar, W. Reenstra and B. A. Gilchrest

Boston University School of Medicine, Boston, Massachusetts

± 5) with the cyclic peptide. Cyclic peptide blocking of p75 decreased emm transcription that ladder formation. To determine if the initial step of p75 aggregation is required for mination of apoptosis, 313-p75 were pretreated with an HPLC purified evelic peptide (CATDIKGAEC) that binds the ligand binding site of p75, and then cultures were stimulated with BA or with dilutent alone. The cyclic peptide inhibited p75 aggregation, decreased *crim* mRNA mductron, reduced GST-cfun (1-79) phosphoryfation, and suppressed cellular apoptosis. The universality of the pathway was confirmed by treating UV-irradiated keratinocytes (50 mJ per cm², meterted at 285 was otherwise prominent in UV-irradiated diluent-treated keratinocytes. Our data identify for the first time the initial signaling events that follow p75 activation and suggest that signaling through clun (1–79)], activated caspase-3 to cleave its substrate [poly (ADP ribose)polymerase], and induced similar to signaling initiated by the apoptotic TNE-0, and Fas receptors, BA activation of p.75 strongly induced the transcription of the immediate early e-jun mRNA, sumulated the stressthe characteristic DNA fragmentation into multimers as measured by TUNEL analysis and DNA p75 requires receptor aggregation. Hence, p75 mediated apoptosis could be abrogated by cyclistimulated with a known p75 ligand β anyloid (βA), and the distribution of p75 on the cell surface was analysed using immunohistochemistry and confocal laser microscopy. Within immutes BA-treated cultures displayed aggregation of p75, while the baseline, homogeneous cell surface distribution of p75 did not change in diluent treated cultures. Furthermore, 3T3-p 5 sumulated with BA in the presence of a bifunctional crosslinker and then reacted with anti-p75 antibodies displayed on western blots in addition to the expected 75 kDa band also a ~220-230 kDa band. consistent with receptor trimerization, as reported for other apoptotic signaling pathways. Moreover, activated c-fun NH2-terminal kinase (INK) as measured by phosphorylation of its substrate [GST-The 75 kDa neurotrophin receptor (p75) is strongly expressed in keratinocytes, inclanocytes and neurons and has been implicated in apoptosis of these cells under certain conditions. When However, when p75 is activated alone, it may signal for apoptosis by stimulating within minutes splingonyelin turnover and ceranide generation. Still, the sequence of events linking 1975 stimulation to ceramide generation and apoptosis remain largely unknown. To investigate p.75 early signaling, NHL-3T3 cells engineered to constitutively express human p75 (3T3-p75), were neurotrophins activate p75 together with receptors of the Trk family, p75 evokee a curvival signal. peptides that isolate the receptor, preventing its activation.

Agouti Signaling Protein Inhibits Melanogenesis Primarily by Binding to the Melanocorum-

Agouti signaling protein (ASP) is known to antagonize the melanogenic effects of α-melanocyte eumelanin to pheomelanin synthesis. We have shown that ASP completely abrogates the matogenac stimulating hormone (α-MSH) on mouse follicular melanocytes, resulting in the switch from Dept of Dermatology, Univ of Cincinnati, Cincinnati, Obio; *Laboratory of Cell Buology, NCL NIH, Bethesda, Maryland; †Department of Veterinary Pathology, Texas AKM University, College Station, Texas; ‡Howard Hughes Medical Institute, Stanford University, Stanford, California Z. Abdel-Malek, M. Furumura,* L. Lamoreux,† M. Ollmann,† G. Barsh‡ and V. Hearmg*

Content in mound him in melanocator, and competer with 0-MSH

152

The Human Nude Phenotype: Congenial Mopecia and Severe T Associated with a Nonsense Mutation in the Whn Gene

W. Ahmad, * N. Pozzi, ‡ P. B. Cserhalmi-Friedman, * D. Gordon, 4- J. C J. Frank,* C. Pignata, ‡ A. A. Panteleyev,* D. M. Prowse, § 11. Baden, § L.

New York: ‡Department of Pediatrics, "Federico II" University, Naples, I Research Center, Massachusetts General Hospital, and Department of Medical School, Charlestown, Massachusetts; +Laboratory of Statistical C Departments of "Dernatology and #Genetics and Development, Columb University, New York, New York

and skin. Identification of the human counterpart of the nude mutati presumably because affected individuals succumb to the immunodeficiabsence of hur can be appreciated. Recently, the simultaneous occurren cell immunodeficiency, congenital alopecia and nail dystrophy (MIN eblings from a consangumeous Italian family was reported. One siblin merow transplantation which corrected the immunodeficiency, but no matations in the human who gene. We found suggestive evidence of lin human chromosome 17 ($Z_{max} = 1.32$), identified a homozygous non makadads, and localized the expression of human whit to tissues involvdevelopmental defects as congenital absence of the hair and adiymia in dericrency, resulting from mutations in the whi gene (winged-helix-We cought to test the hypothesis that this syndrome represented a candid ractings implicate a forkload winged helix family member in the forkhead winged helix transcription factor family member with restric The mude mouse phenotype is characterized by congenital absence of

Guce, ortheords Induce a Near-Total Suppression of Hyaluronan Sy Febroblass and in Oscoblasts: A Molecular Mechanism Contributing W. Zhang, C. Watson, C. dau, K. Williams and V. Werth

Department of Dematology, University of Pennsylvania, Philadelphia Topical and systemic glucocorticoids induce an atrophy of skin, bon characterized by decreased usue content of glycosaminoglycans, in 41A). We took advantage of the recent cloning of the three mann 4HASi enzymes, HAS-1, -2, and -3, to explore the molecular basis of of Medicine. Thomas Jefferson University, Philadelphia, Pennsylvania is experienced on RNA extracted from cultured derinal fibroblasts